

TO:

Mail Stop 8
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

**REPORT ON THE
FILING OR DETERMINATION OF AN
ACTION REGARDING A PATENT OR
TRADEMARK**

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been
filed in the U.S. District Court Eastern District of Texas on the following

☐ Trademarks or ☒ Patents. (☐ the patent action involves 35 U.S.C. § 292.):

DOCKET NO. 6:12-cv-661	DATE FILED 9/17/2012	U.S. DISTRICT COURT Eastern District of Texas
PLAINTIFF PERSONALWEB TECHNOLOGIES LLC and LEVEL 3 COMMUNICATIONS, LLC.		DEFENDANT INTERNATIONAL BUSINESS MACHINES CORPORATION
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 5 Pats.		SEE ATTACHMENT A
2		
3		
4		
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY <input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
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In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE
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Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director
Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy



ATTACHMENT A TO FORM AO-120
(PersonalWeb v. IBM)

PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
5,978,791	November 2, 1999	PersonalWeb Technologies LLC and Level 3 Communications, LLC
6,415,280 B1	July 2, 2002	PersonalWeb Technologies LLC and Level 3 Communications, LLC
6,928,442 B2	August 9, 2005	PersonalWeb Technologies LLC and Level 3 Communications, LLC
7,802,310 B2	September 21, 2010	PersonalWeb Technologies LLC and Level 3 Communications, LLC
8,099,420 B2	January 17, 2012	PersonalWeb Technologies LLC and Level 3 Communications, LLC